# State of California – Natural Resources Agency DEPARTMENT OF PARKS AND RECREATION DIVISION OF BOATING AND WATERWAYS

#### GRANT AGREEMENT

GRANTEE:	San Mateo County Harbor District	
GRANTEL.	San Maleo County harbor District	

**GRANT TITLE:** Boating Safety and Enforcement Equipment Grant - FY 2021 / 22

GRANT NUMBER: C21L0624

**GRANT AMOUNT:** \$71,748.00

GRANT AGREEMENT TERM: February 1, 2022 through January 31, 2038

**GRANT PERFORMANCE PERIOD:** February 1, 2022 through March 31, 2023.

The Grantee agrees to the terms and conditions of this contract, hereinafter referred to as Agreement, and the State of California, acting through its Director of the Department of Parks and Recreation, and pursuant to the State of California agrees to fund the total state grant amount indicated below. The GRANTEE agrees to complete the SCOPE OF WORK as defined in the Agreement.

The following are attached and made a part of and incorporated into this grant agreement: Exhibit A Grant Terms and Conditions, Exhibit B General Terms and Conditions, Exhibit C Contractor Certification Clauses, Exhibit D Doing Business with California, Exhibit E Darfur Contracting Act, Exhibit F Recycled Content Certification, and Exhibit G Scope of Work/Budget (Application).

This grant award is funded by the Sport Fish Restoration and Boating Trust Fund, administered by California Department of Parks and Recreation, Division of Boating and Waterways. The Federal FY 22 award, 3322FAS220106 to California; \$5,283,309.

Grantee: San Mateo County Harbor District Address: PO Box 1449, El Granada, CA 94018	Agency: Department of Parks and Recreation Division of Boating and Waterways ATTN: Johanna Naughton
Name of Authorized Representative:	Address: 4940 Lang Ave., Dock H, Administration, Floor 12 McClellan, CA 95652
Title of Authorized Representative:	Authorized Signature:
Authorized Signature:	Printed Name: Keren Dill
Date:	Title: Staff Services Manager II
Name of Project Representative:	Date:
Phone:	
Email:	

# CERTIFICATE OF FUNDING

# (FOR STATE USE ONLY)

#### **GRANTEE:** San Mateo County Harbor District

#### THE TERM OF THIS AGREEMENT IS: February 1, 2022 through January 31, 2038

# **GRANT TITLE:** LAW ENFORCEMENT EQUIPMENT GRANT PROGRAM

#### GRANT NUMBER: C21L0624

#### PURCHASE ORDER NUMBER:

CONTRACT NO C21L0624	AMENDMENT NO	SUPPLIER ID 946050405			PROJECT NO
AMOUNT ENCUMBERED BY THIS DOCUMENT \$71,748.00	FUND DESCRIPTIO	N		AGENCY BILLING C	ODE NO
REPORTING STRUCTURE	ITEM	CHAPTER	STATUTE		FISCAL YEAR 2021/22
BUSINESS UNIT	INDEX 1706	OBJECT CODE	ACTIVITY CODE		ACCOUNT

BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANT AGREEMENT

# San Mateo County Harbor District C21L0624



State of California Department of Parks and Recreation Division of Boating and Waterways

Date: 01/25/2022

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#### **EXHIBIT A**

#### **GRANT TERMS AND CONDITIONS**

#### 1. DEFINITIONS

- A. **"DEPARTMENT":** The Department of Parks and Recreation, Division of Boating and Waterways (DBW).
- B. **"EFFECTIVE DATE":** The date the GRANT AGREEMENT face page is signed by the DEPARTMENT'.
- C. <u>"EQUIPMENT":</u> Boating-specific equipment or other support equipment used to implement or conduct boating safety and boating law enforcement activities. EQUIPMENT may also include PATROL BOAT.
- D. <u>"GRANT":</u> Funds provided by the DEPARTMENT, from the Federal Recreational Boating Safety (RBS) Grant, to the GRANTEE to finance all or part of the PURCHASE COSTS for items that assist in meeting the purposes of the Boating Safety Equipment and Enforcement (BSEE) and RBS programs.
- E. **"GRANT AGREEMENT":** The contract to which these grant terms and conditions are attached.
- F. **"GRANT PERFORMANCE PERIOD":** The timeframe specified on the grant agreement face page which includes the agreement start date (effective date) and final date for purchasing and receiving equipment.
- G. **<u>"GRANTEE"</u>**: The person or entity identified as the Grantee on the face page of the Agreement.
- H. **"GRANTEE FUNDS":** Any funds provided by the GRANTEE for the purchase or operation and maintenance of the EQUIPMENT/PATROL BOAT.
- I. **"PATROL BOAT":** A DEPARTMENT approved, registered vessel [with or without trailer and/or outboard motor] purchased for use in boating safety and law enforcement activities.
- J. **"PURCHASE COSTS":** Those costs incurred by the GRANTEE in purchasing the EQUIPMENT/PATROL BOAT; such PURCHASE COSTS shall not include any operation or maintenance costs, nor any costs incurred prior to the EFFECTIVE DATE of this GRANT, nor any indirect or overhead costs claimed by the GRANTEE.

### 2. GENERAL

- A. This GRANT AGREEMENT shall not exceed the amount specified in this GRANT AGREEMENT and shall be used for the purchase of EQUIPMENT and/or PATROL BOAT for Boating Safety and Enforcement activities in accordance with all Exhibits incorporated and referenced herein.
- B. The term of this GRANT AGREEMENT shall begin on the EFFECTIVE DATE of the GRANT AGREEMENT and shall continue for SIXTEEN [16] YEARS from such date unless terminated in accordance with the terms and conditions of this GRANT AGREEMENT.
- C. No amendment or variation of the terms of this GRANT AGREEMENT shall be valid unless made in writing and signed by an authorized representative of the DEPARTMENT and the GRANTEE. Oral understandings are not binding on any of the parties.

- D. EQUIPMENT/PATROL BOAT purchase shall be completed by the end of the PERFORMANCE PERIOD.
- E. GRANTEE hereby certifies that the obligations created by this GRANT AGREEMENT do not violate the provisions of Sections 1090 to 1096 of the Government Code.
- F. This GRANT AGREEMENT is not fully executed until signed by the DEPARTMENT. Grantee may not go out to bid until GRANT AGREEMENT is fully executed and equipment specifications and cost estimates have been approved in writing by the DEPARTMENT.
- G. GRANTEE hereby certifies that during the performance of this GRANT AGREEMENT, GRANTEE and any sub-grantees shall fully comply with State regulations regarding the implementation of Disabled Veteran business participation goals as set forth in <u>Disabled Veteran Business Enterprise Participation</u> **Requirements, Recycling Certification and, Contractor Certification Clauses.**
- H. GRANTEE shall continue with the responsibilities of this GRANT AGREEMENT during any dispute.
- I. GRANTEEE acknowledges that failure to perform the duties and responsibilities under this grant may negatively impact the DEPARTMENT'S consideration of future grant applications for up to three (3) years.
- J. **Subvention agencies:** GRANTEES participating in the DEPARTMENT'S Subvention Financial Aid Program certifies that failure to fully spend subvention funding in the prior fiscal year will cause a negative impact in the DEPARTMENT'S consideration of future grant applications for up to three (3) years.
- K. Annual Reports: Grantee shall submit an Annual Report beginning August 31st, 2022 and each and every year by August 31 for the term of this agreement (16 years). Reports shall include maintenance records, number of hours BSEE equipment is used, additional equipment or modifications of any equipment or patrol boats, any loss or damage to equipment or patrol boat and a summary of boating accident reports submitted during the reporting year.
- L. GRANTEE is required to participate in at least one (1) outreach event per year, such as Operation Dry Water, to conduct boating under the influence (BUI) check-points and educate boaters on the importance of boating sober.
- M. GRANTEE shall submit accident reports to DBW within 30 days of responding to a boating accident in waterbodies within GRANTEE'S area of responsibility. Failure to do so may jeopardize future DBW funding for up to three (3) years.
- N. GRANTEE, representatives, agents or employees shall not act or represent themselves as officers, employees or agents of the DEPARTMENT in the performance of this GRANT AGREEMENT.

# 3. PAYMENT PROVISIONS AND DISBURSEMENT OF GRANT

- A. Reimbursements must be requested on GRANTEE'S official agency letterhead and include:
  - Amount requested in reimbursement
  - GRANT AGREEMENT number
  - Statement of acceptance of the vessel or equipment as meeting DBW's approved specifications
  - Certification that you complied with all procurement procedures outlined this agreement;

- Name of payee and address where payment is to be sent
- Location of performance (where the equipment will be used)
- Entity's congressional district and DUNS
- Signature of the person authorized in the resolution or minute order to execute the agreement
- Copies of brand name, description, make, model and serial number
- Proof of payment for purchase including invoices showing cost and sales tax
- For all motorized vessels: Department of Motor Vehicle (DMV) proof showing the DEPARTMENT as the legal owner and GRANTEE as the registered owner
- Certificate of Origin for all PATROL BOATS and trailers.
- B. GRANTEE shall request grant reimbursements no later than March 31, 2023 by mailing one (1) complete reimbursement request package to:

DBW Attn: BSEE Grant Manager 4940 Lang Avenue Dock H McClellan, CA 95652

Or by emailing (1) complete reimbursement request to the DBW assigned grant manager.

- C. No funds shall be reimbursed for purchases made prior to the EFFECTIVE DATE of this agreement.
- D. The DEPARTMENT shall have no obligation to disburse the GRANT unless and until the GRANTEE obtains the prior written approval by the DEPARTMENT of the type and cost of the EQUIPMENT/PATROL BOAT.
- E. The DEPARTMENT will disperse the grant to the GRANTEE in arrears for the approved PURCHASE COST of the EQUIPMENT/PATROL BOAT
- F. The DEPARTMENT may withhold any grant disbursement if the GRANTEE fails to comply with any of the provisions of this GRANT AGREEMENT.

# 4. EQUIPMENT/PATROL BOAT OWNERSHIP

The DEPARTMENT shall be the legal owner of the EQUIPMENT/PATROL BOAT. The GRANTEE shall be the registered owner of PATROL BOAT or any other registered vessels purchased with GRANT FUNDS. The GRANTEE shall not assign, mortgage, hypothecate or transfer its interest in the EQUIPMENT/PATROL BOAT without the prior written approval of the DEPARTMENT.

### 5. OPERATION AND MAINTENANCE OF EQUIPMENT/PATROL BOAT

- A. The GRANTEE shall use the EQUIPMENT/PATROL BOAT for the purpose of promoting recreational boating safety and boating law enforcement and shall keep the EQUIPMENT/PATROL BOAT available for search and rescue operations and other projects or programs supported by the federal government provided that such use will not interfere with the program for which it was acquired (CFR 200.313.c.2)
- B. The GRANTEE shall be responsible for the costs of operating and maintaining the EQUIPMENT/PATROL BOAT for fifteen (15) years from EFFECTIVE DATE of this GRANT AGREEMENT; the DEPARTMENT shall not be liable for such costs.
- C. The GRANTEE shall maintain the EQUIPMENT/PATROL BOAT in good repair according to all manufacturer recommendations and shall make all repairs necessary to keep EQUIPMENT/PATROL BOAT functioning through the term of the GRANT

AGREEMENT.

- D. The GRANTEE, at its own expense, agrees to repair or replace the EQUIPMENT/PATROL BOAT if it is damaged, destroyed or rendered useless prior to the expiration of this GRANT AGREEMENT.
- E. GRANTEE shall submit maintenance records to the DEPARTMENT with each annual report.
- F. The GRANTEE shall seek DEPARTMENT approval for the purchase and installation of additional equipment or modifications to any registered vessel purchased with grant funds. Complete and accurate records of all such modifications shall be reported to the DEPARTMENT in the GRANTEE'S annual report and made available to the DEPARTMENT or authorized representative for inspection upon request.
- G. Each GRANTEE shall report to the DEPARTMENT loss or damage to any equipment purchased with grant funds within 30 days of occurrence.
- H. Each GRANTEE shall ensure that any PATROL BOAT/EQUIPMENT purchased with grant funds is operated solely by qualified Boating Safety and Boating Law Enforcement Officers. Operators must fully comply with GRANTEE'S documented training and certification requirements to be deemed qualified, which shall include, at a minimum, receipt of a California Boater Card.
- I. GRANTEE shall obtain prior DBW approval before submitting requests for modification or survey of a grant-funded PATROL BOAT/EQUIPMENT to California Department of General Services (DGS).

# 6. TERMINATION OF GRANT AGREEMENT

- A. Either DEPARTMENT or GRANTEE may unilaterally terminate this GRANT AGREEMENT if a material breach of the GRANT AGREEMENT is made by the other; such termination shall become effective NINETY [90] DAYS following the date of receipt by either the DEPARTMENT or the GRANTEE of a written notice of termination from the party initiating the termination.
- B. The GRANTEE may terminate this GRANT AGREEMENT if the GRANTEE becomes financially or legally unable to comply with the terms and conditions of this GRANT AGREEMENT; such termination shall become effective NINETY [90] DAYS following receipt by the DEPARTMENT of a written notice of termination from the GRANTEE.
- C. The DEPARTMENT may terminate this GRANT AGREEMENT immediately and be relieved of any payments should the legislative body of the GRANTEE fail to appropriate GRANTEE FUNDS for purchase costs, if required, or if the GRANTEE fails to perform the requirements of this Agreement at the time and in the manner herein provided; such termination to become effective upon receipt by the GRANTEE of a written termination notice from the DEPARTMENT.
- D. The DEPARTMENT has the option to void the GRANT AGREEMENT with 30 days' notice in the event grant funds are not appropriated or amend the GRANT AGREEMENT to reflect any unexpected reduction of grant funds.

### 7. REVERSION OF EQUIPMENT/PATROL BOAT TO DEPARTMENT

If EQUIPMENT/PATROL BOAT is no longer needed or this GRANT AGREEMENT is terminated prior to the expiration of the term of the GRANT AGREEMENT:

A. The GRANTEE shall deliver the fully functioning EQUIPMENT/PATROL BOAT to the DEPARTMENT for reassignment and shall execute any document(s) necessary to effect appropriate changes in pertinent public records; the reversion of registered title is hereby declared to be in addition to, and not in lieu of, any other remedies for breach

of this GRANT AGREEMENT which may be available to the DEPARTMENT.

B. The GRANTEE shall be prohibited from receiving DEPARTMENT BSEE Grants for a period of three (3) years if EQUIPMENT/PATROL BOAT is not fully functional at time of termination.

# 8. LIABILITY

- A. The GRANTEE waives all claims and recourse against the DEPARTMENT, including the right to contribution for any loss or damage arising from, growing out of or in any way connected with or incident to this GRANT AGREEMENT.
- B. GRANTEE agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this GRANT AGREEMENT, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by GRANTEE in the performance of this Agreement. GRANTEE warrants, represents and agrees that it and its subgrantees, subcontractors, employees and representatives shall at all times comply with all applicable State contracting laws, codes, rules and regulations in the performance of this GRANT AGREEMENT.
- C. If the DEPARTMENT is named as a co-defendant, the GRANTEE shall notify the DEPARTMENT and represent it unless the DEPARTMENT elects to represent itself. If the DEPARTMENT undertakes its own defense, it shall bear its own litigation costs, expenses and attorney's fees.

# 9. WAIVER OF RIGHTS

It is the intention of the parties hereto that from time to time either party may waive certain of its rights under this GRANT AGREEMENT. Any waiver at this time by either party hereto of its rights with respect to a default or any other matter arising in connection with this GRANT AGREEMENT shall not be deemed to be a waiver with respect to any other default or matter.

### 10. REMEDIES NOT EXCLUSIVE

The use by either the DEPARTMENT or GRANTEE of any remedy specified in this GRANT AGREEMENT for the enforcement of this GRANT AGREEMENT is not exclusive and shall not deprive the party using such remedy of, or limit the application of, any other remedy provided by law.

### 11. OPINIONS AND DETERMINATIONS

Where the terms of GRANT AGREEMENT provide for action to be based upon the opinion, judgment, approval, review, or determination of either the DEPARTMENT or GRANTEE, such terms are not intended to be and shall never be construed as permitting such opinion, judgment, approval, review, or determination to be arbitrary, capricious, or unreasonable.

### 12. PROCUREMENT PROCEDURES

A. The GRANTEE must use procurement procedures that reflect applicable State and local public procurement laws and regulations, provided that the procedures conform to applicable Federal law and the standards identified in 2 CFR §200.318.

There shall be no changes, corrections, modifications or exceptions to DEPARTMENT approved specifications without advance written approval by the DEPARTMENT. Procurement procedures used by the GRANTEE must conform to State law and regulations regarding Disabled Veteran Business Enterprise Participation Requirements, Recycling Certification, AND CONTRACTORS CERTIFICATION CLAUSES. The GRANTEE is responsible, in its sole discretion, for the review of all bids for compliance.

B. Procurement for boats and other registered vessels must be conducted using Invitation for Bid and must adhere to the specific procurement standards identified by GRANTEE'S governing board regarding advertising, adequate purchase descriptions, sealed bids, and public openings.

#### C. EQUIPMENT AND ELECTRONICS PROCUREMENT PROCEDURES:

Grantee must obtain at least three (3) bids or rate quotations from qualified sources for each item that has a unit cost of \$10,000 or more. The bids may be obtained over the phone, but must be verified with written documentation from the vendor, and must include the make, model, size, name of vendor, date, and cost of item.

# D. DEPARTMENT REVIEW

- (1) GRANTEE must submit to the DEPARTMENT proposed technical specifications of PATROL BOATS and other registered vessels for review and approval prior to solicitation of bids.
- (2) Grantees and sub-grantees must make available on request to the DEPARTMENT procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, etc. and must retain such documents for four years after equipment acquisition for auditing purposes.

### 13. DISPOSITION OF EQUIPMENT/PATROL BOAT

**In accordance with 2 CFR 200.313 e.1 and 2**, upon completion of the term of the grant agreement, GRANTEE may continue to use EQUIPMENT/PATROL BOAT if needed. If EQUIPMENT/PATROL BOAT is no longer needed, an assessment must be done to establish the per-unit fair-market value. If the per-unit fair-market value is \$5,000 or less, EQUIPMENT/PATROL BOAT may be retained, sold, or otherwise disposed of by the GRANTEE with no further obligation to the DEPARTMENT. If the per-unit fair-market value exceeds \$5,000, GRANTEE must deliver equipment to the California Department of General Services (DGS) for sale at auction. Prior to delivering equipment to DGS, GRANTEE must receive approval from the DEPARTMENT to request that DGS complete an OFA6. Once DGS has completed the OFA6, GRANTEE will submit the OFA6 to the DEPARTMENT with an official letter requesting to surrender the vessel. The DEPARTMENT will provide the GRANTEE with an OFAM27 and instructions for delivering the EQUIPMENT/PATROL BOAT to auction via email. GRANTEE will deliver the EQUIPMENT/PATROL BOAT and OFAM27 to the auction as instructed by the DEPARTMENT. GRANTEE shall have the OFAM27 signed at the auction and shall return the signed OFAM27 to the DEPARTMENT.

# 14. DISPOSITION OF PROCEEDS FROM SALE OF EQUIPMENT INSTALLED AND PARTIALLY FUNDED EQUIPMENT

If the GRANTEE has contributed funding in excess of the GRANT to the PURCHASE COSTS of the **EQUIPMENT/PATROL BOAT**, and in the event of a sale of the EQUIPMENT/PATROL BOAT after the expiration or termination of this GRANT AGREEMENT or the reversion of the EQUIPMENT/PATROL BOAT to the DEPARTMENT, then the proceeds of the EQUIPMENT/PATROL BOAT sale shall be distributed between the DEPARTMENT and the GRANTEE in proportion to their respective contributions of the PURCHASE COSTS, e.g.: if the PURCHASE COSTS totaled \$100,000 and the GRANT contribution amounts to \$60,000, then the DEPARTMENT would receive 60 percent of the EQUIPMENT/PATROL BOAT sale proceeds and the GRANTEE would receive 40 percent.

### 15. FEDERAL TERMS, CONDITIONS AND REGULATIONS

This GRANT is funded by the Federal Recreational Boating Safety (RBS) Grant and as such, GRANTEE shall comply with all the governing regulations, namely 2 CFR 200. The most applicable of which have been included here for reference. For clarity, "Non-federal entity" shall be understood to be "DEPARTMENT" and "Award" shall be understood to be "GRANT".

GRANTEES are subject and must adhere to the provisions set forth in the 2020 DHS Standard Terms and Conditions which are available online at: https://www.dhs.gov/sites/default/files/publications/fy20\_dhs\_standard\_terms\_and\_condition s\_v10.1\_dated\_12-31-2019.pdf and made a part of this agreement by reference. GRANTEES are subject to and must adhere to the regulations set forth in the 2 CFR 200 available online at: https://www.govinfo.gov/content/pkg/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1/pdf/CFR-2014-title2-v

#### 16. COMPLIANCE WITH LAW, REGULATION AND POLICY

It is understood and agreed that the Grantee shall comply with all applicable laws and regulations of the State of California, U.S. Coast Guard Code of Federal Regulations: 2 CFR identified in section §§ 200.318 General Procurement standards through 200.326 Contract provisions, 50 CFR 80 and 50 CFR 85, Equal Opportunity (41 CFR 60-1.4(b)), Davis-Bacon Act, as amended (40 U.S.C. 3141-3148), Copeland "Anti-Kickback" Act (40 U.S.C. 3145), Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708), "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements" (37 CFR Part 401), Clean Air Act (42 U.S.C. 7401-7671q.), and the Federal Water Pollution Control Act as amended (33 U. S.C. 1251-1387), Debarment and Suspension (Executive Orders 12549 and 12689), Byrd Anti-Lobbying amendment (31 U.S.C. 1352) and all policies of DBW.

#### A. MANDATORY DISCLOSURES

The non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Failure to make required disclosures can result in any of the remedies described in §200.338 remedies for noncompliance, including suspension or debarment. (See also 2 CFR part 180 and 31 U.S.C. 3321).

# B. ENHANCEMENT OF RECIPIENT AND SUBRECIPIENT EMPLOYEE WHISTLEBLOWER PROTECTION

This award, related subawards, and related contracts over the simplified acquisition threshold and all employees working on this award, related subawards, and related contracts over the simplified acquisition threshold are subject to the whistleblower rights and remedies established at 41 USC 4712.

Recipients, their subrecipients, and their contractors awarded contracts over the simplified acquisition threshold related to this award, shall inform their employees in writing, in the predominant language of the workforce, of the employee whistleblower rights and protections under 41 USC 4712.

The recipient shall insert this clause, including this paragraph, in all subawards and in contracts over the simplified acquisition threshold related to this award.

#### C. EQUAL OPPORTUNITY CLAUSE

During the performance of this grant, the grantee agrees to sections i-vii below:

i. The grantee will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The grantee will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The grantee agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the granting officer setting forth the provisions of this nondiscrimination clause.

- ii. The grantee will, in all solicitations or advertisements for employees placed by or on behalf of the grantee, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
- iii. The grantee will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided by the agency granting officer, advising the labor union or workers' representative of the grantee's commitments under section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- iv. The grantee will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- v. The grantee will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the granting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- vi. In the event of the grantee's non-compliance with the nondiscrimination clauses of this grant or with any of such rules, regulations, or orders, this grant may be canceled, terminated or suspended in whole or in part and the grantee may be declared ineligible for further Government grants in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- vii. The grantee will include the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The grantee will take such action with respect to any subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions including sanctions for noncompliance: Provided, however, that in the event the grantee becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the grantee may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the grant.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction grants or contracts pursuant to the Executive order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon grantees and contractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

*Subcontracts.* Each nonexempt prime contractor or subcontractor shall include the equal opportunity clause in each of its nonexempt subcontracts.

- I. Incorporation by reference. The equal opportunity clause may be incorporated by reference in all Government contracts and subcontracts, including Government bills of lading, transportation requests, contracts for deposit of Government funds, and contracts for issuing and paying U.S. savings bonds and notes, and such other contracts and subcontracts as the Deputy Assistant Secretary may designate.
- II. Incorporation by operation of the order. By operation of the order, the equal opportunity clause shall be considered to be a part of every contract and subcontract required by the order and the regulations in this part to include such a clause whether or not it is physically incorporated in such contracts and whether or not the contract between the agency and the contractor is written.

Adaptation of language. Such necessary changes in language may be made in the equal opportunity clause as shall be appropriate to identify properly the parties and their undertakings.

# EXHIBIT B

#### General Terms and Conditions (GTC 04/2017)

### 1. <u>APPROVAL:</u>

This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor /GRANTEE may not commence performance until such approval has been obtained.

# 2. AMENDMENT:

No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

### 3. ASSIGNMENT:

This Agreement is not assignable by the Contractor/GRANTEE, either in whole or in part, without the consent of the State in the form of a formal written amendment.

# 4. AUDIT:

Contractor/GRANTEE agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor/GRANTEE agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor//GRANTEE agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor/GRANTEE agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

### 5. INDEMNIFICATION:

Contractor/GRANTEE agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all GRANTEES, contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor/GRANTEE in the performance of this Agreement.

### 6. DISPUTES:

Contractor/GRANTEE shall continue with the responsibilities under this Agreement during any dispute.

# 7. TERMINATION FOR CAUSE:

The State may terminate this Agreement and be relieved of any payments should the Contractor/GRANTEE fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor/GRANTEE under this Agreement and the balance, if any, shall be paid to the Contractor/GRANTEE upon demand.

# 8. INDEPENDENT CONTRACTOR:

Contractor/GRANTEE, and the agents and employees of Contractor/GRANTEE, in the performance of this Agreement, shall act in an independent capacity and not as officers or

employees or agents of the State.

#### 9. RECYCLING CERTIFICATION:

The Contractor/GRANTEE shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

### 10. NON-DISCRIMINATION CLAUSE:

During the performance of this Agreement, Contractor/GRANTEE and its subcontractors shall not deny the contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender expression, age, sexual orientation, or military and veteran status, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor/GRANTEE shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor/GRANTEE and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12900 et seq.), the regulations promulgated thereunder (Cal. Code Regs., tit. 2, §11000 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Gov. Code §§11135-11139.5), and the regulations or standards adopted by the awarding state agency to implement such article.

Contractor/GRANTEE shall permit access by representatives of the Department of Fair Employment and Housing and the awarding state agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Contractor/GRANTEE and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. (See Cal. Code Regs., tit. 2, §11105.)

Contractor/GRANTEE shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

### 11. CERTIFICATION CLAUSES:

The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 04/2017 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

### 12. TIMELINESS:

Time is of the essence in this Agreement.

### 13. COMPENSATION:

The consideration to be paid Contractor/GRANTEE, as provided herein, shall be in compensation for all of Contractor's/GRANTEE'S expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

# 14. GOVERNING LAW:

This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

# 15. ANTITRUST CLAIMS:

The Contractor/GRANTEE by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor/GRANTEE shall comply with the requirements of the Government Codes Sections set out below.

- a. The Government Code Chapter on Antitrust claims contains the following definitions:
  - "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.
  - "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.
- b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.
- c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.
- d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

# 16. CHILD SUPPORT COMPLIANCE ACT:

For any Agreement in excess of \$100,000, the contractor/GRANTEE acknowledges in accordance with Public Contract Code 7110, that:

- a. The contractor/GRANTEE recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- b. The contractor/GRANTEE, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

# 17. UNENFORCEABLE PROVISION:

In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

# 18. PRIORITY HIRING CONSIDERATIONS:

If this Contract includes services in excess of \$200,000, the Contractor/GRANTEE shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

# 19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS:

- a. If for this Contract Contractor/GRANTEE made a commitment to achieve small business participation, then Contractor/GRANTEE must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)
- b. If for this Contract Contractor/GRANTEE made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor/GRANTEE must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor/GRANTEE received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor/GRANTEE; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

### 20. LOSS LEADER:

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

### EXHIBIT C

# CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Grantee to the clause(s) listed below. This certification is made under the laws of the State of California.

5 7 ( ,		Federal ID Number 94-6050405	
By Authorized Signature:			
Printed Name and Title of Person Signing			
Date Executed in the County of San Mateo			

# GRANTEE CERTIFICATION CLAUSES

# 1. STATEMENT OF COMPLIANCE:

Grantee has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)

# 2. DRUG-FREE WORKPLACE REQUIREMENTS:

- 18.10 Grantee will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness Program to inform employees about:
  - 1) the dangers of drug abuse in the workplace;
  - 2) the person's or organization's policy of maintaining a drug-free workplace;
  - any available counseling, rehabilitation and employee assistance programs; and,
  - 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
  - 1) receive a copy of the company's drug-free workplace policy statement; and,
  - 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

### 3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION:

Grantee certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Grantee within the immediately preceding two-year period because of Grantee's failure to comply with an order of a Federal court, which orders Grantee to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

# 4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT:

Grantee hereby certifies that Grantee will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Grantee agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the agreement equal to the lessor of 30 multiplied by the

number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its agreement with the State.

Failure to make a good faith effort may be cause for non-renewal of a state agreement for legal services, and may be taken into account when determining the award of future contracts/agreements with the State for legal services.

### 5. EXPATRIATE CORPORATIONS:

18.40 Grantee hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

### 6. SWEATFREE CODE OF CONDUCT:

- a. All Grantees contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The Grantee further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.
- b. The Grantee agrees to cooperate fully in providing reasonable access to the Grantee's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the Grantee's compliance with the requirements under paragraph (a).

# 7. DOMESTIC PARTNERS:

For contracts over \$100,000 executed or amended after January 1, 2007, the Grantee certifies that Grantee is in compliance with Public Contract Code section 10295.3.

#### EXHIBIT D

#### DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

# 1. CONFLICT OF INTEREST:

Grantee needs to be aware of the following provisions regarding current or former state employees. If Grantee has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (PCC 10410):

1) No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2) No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

- For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
- 2) For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Grantee violates any provisions of above paragraphs, such action by Grantee shall render this Agreement void. (PCC 10420).

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (PCC 10430 (e)).

### 2. LABOR CODE/WORKERS' COMPENSATION:

Grantee needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Grantee affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700).

### 3. AMERICANS WITH DISABILITIES ACT:

Grantee assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

### 4. GRANTEE NAME CHANGE:

An amendment is required to change the Grantee's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said

amendment. Any changes of the Grantee's representative shall be notified to DBW within 30 days written notice on Grantee's letterhead.

# 5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

- When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the Grantee is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.
- b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate Grantee performing within the state not be subject to the franchise tax.
- c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California.

Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

### 6. **RESOLUTION**:

A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

### 7. AIR OR WATER POLLUTION VIOLATION:

Under the State laws, the Grantee shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

### 8. PAYEE DATA RECORD FORM STD. 204:

This form must be completed by all Grantees that are not another state agency or other governmental entity.

#### EXHIBIT E

#### **Bid/Proposal Attachment regarding the Darfur Contracting Act of 2008**

Effective January 1, 2009, all Invitations for Bids (IFB) or Requests for Proposals (RFP) for goods or services must address the requirements of the Darfur Contracting Act of 2008 (Act). (Public Contract Code sections 10475, et seq.; Stats. 2008, Ch. 272). The Act was passed by the California Legislature and signed into law by the Governor to preclude State agencies generally from contracting with "scrutinized" companies that do business in the African nation of Sudan (of which the Darfur region is a part), for the reasons described in Public Contract Code section 10475.

A scrutinized company is a company doing business in Sudan as defined in Public Contract Code section 10476. Scrutinized companies are ineligible to, and cannot, bid on or submit a proposal for a contract with a State agency for goods or services. (Public Contract Code section 10477(a)).

Therefore, Public Contract Code section 10478 (a) requires a company that currently has (or within the previous three years has had) business activities or other operations outside of the United States to certify that it is not a "scrutinized" company when it submits a bid or proposal to a State agency. (See # 1 on the sample Attachment).

A scrutinized company may still, however, submit a bid or proposal for a contract with a State agency for goods or services if the company first obtains permission from the Department of General Services (DGS) according to the criteria set forth in Public Contract Code section 10477(b). (See # 2 on the sample Attachment).

The following sample Attachment may be included in an IFB or RFP to satisfy the Act's certification requirements of bidders and proposers.

#### **Exhibit E - DARFUR CONTRACTING ACT**

Pursuant to Public Contract Code section 10478, if a bidder or proposer currently or within the previous three years has had business activities or other operations outside of the United States, it must certify that it is not a "scrutinized" company as defined in Public Contract Code section 10476.

Therefore, to be eligible to submit a bid or proposal, please complete only one of the following three paragraphs (via initials for Paragraph # 1 or Paragraph # 2, or via initials and certification for Paragraph # 3): YOUR BID OR PROPOSAL WILL BE DISQUALIFIED UNLESS YOUR BID OR PROPOSAL INCLUDES THIS FORM WITH EITHER PARAGRAPH #1 OR #2 INITIALED OR PARAGRAPH #3 INITIALED AND CERTIFIED.

1. \_\_\_\_\_ We do not currently have, or we have not had within the previous three years, business activities or other operations outside of the United States.

#### OR

2. \_\_\_\_\_ We are a scrutinized company as defined in Public Contract Code section 10476, but we have received written permission from the Department of General Services (DGS) to submit a bid or proposal pursuant to Public Contract Code section 10477(b). A copy of the written permission from DGS is included with our bid or proposal.

#### OR

3. \_\_\_\_\_ We currently have, or we have had within the previous three years, business activities or other operations outside of the United States, but we certify below that we are not a scrutinized company as defined in Public Contract Code section 10476.

#### **CERTIFICATION For # 3.**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective proposer/bidder to the clause listed above in # 3. This certification is made under the laws of the State of California.

Grantee Agency Name (Printed)		Federal ID Number	
San Mateo County Harbor District		94-6050405	
By (Authorized Signature)			
Printed Name and Title of Person Signing			
Date Executed	Executed in the County o	f	
	San Mateo		

# YOUR BID OR PROPOSAL WILL BE DISQUALIFIED UNLESS YOUR BID OR PROPOSAL INCLUDES THIS FORM WITH EITHER PARAGRAPH #1 OR #2 INITIALED OR PARAGRAPH #3 INITIALED AND CERTIFIED

#### EXHIBIT F

#### SUGGESTED LANGUAGE FOR RECYLING CERTIFICATION

State law requires that state contracts shall have Recycling Certification in writing under penalty of perjury, the minimum, if not exact, percentage of recycled content, both post-consumer waste and secondary waste as defined in the Public Contract Code, Sections 12161 and 12200, in materials, goods, or supplies offered or products used in the performance of this Agreement, regardless of whether the product meets the required recycled product percentage as defined in the Public Contract Code, Sections 12161 and 12200. Contractor may certify that the product contains zero recycled content. (PCC 10233, 10308.5, 10354)

#### **RECYCLED CONTENT CERTIFICATION**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that

I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Name and Title of Person Signing	Date Executed
Authorized Signature	Executed in the County of San Mateo
Title	Telephone Number
Legal Business Name San Mateo County Harbor District	Federal ID Number 94-6050405

The Contractor hereby certifies under penalty of perjury, that {min\_recycle\_pct} percent of the materials, goods, supplies offered, or products used in the performance of this contract meets the or exceeds the minimum percentage of recycled material as defined in Sections 12161 and 12200 of the Public Contract Code. The Contractor may certify that the product contains zero recycled content.

# General

-					
1	Ар	plicant Information			
	a.	Applicant Name	San Mateo County Harbor	District	
	b.	Organizational Unit			
	c.	Address	PO Box 1449		
	d.	Address 2			
	e.	City	El Granada	State CA	Zip 94018
	f.	Federal ID Number	94-6050405	Reference No.	
	g.	Agency Type			
		C City		C Co	punty
		C State Agency		Dis	strict
		C Other Public Agency			
2	Pro	ject Information			
	a.	Project Name	Equipment for Patrol Boats		
	b.	Is implementing agency same as Appli	icant		💽 Yes 🛛 🔿 No
	c.	Implementing Agency Name			
	d.	Project Start Date	Feb-01-2022	End Date	Mar-31-2023
	e.	Amount of Funds Requested	\$71,748.00	Project Cost	\$71,748.00

#### 3 Contacts

a.	Project Administrator					
	Name	Chris Tibbe				
	Title	Harbormaster				
	Mailing Address	1 Johnson Pier				
	City	Half Moon Bay	State	CA	Zip	94019
	Telephone	(650) 726-4382			Fax	(650) 726-7740
	E-mail Address	ctibbe@smharbor.com				
b.	Project Administrator					
	Name	Jim Merlo				
	Title	Harbormaster				
	Mailing Address	95 Harbormaster rd. #1				
	City	South San Francisco	State	CA	Zip	94080
	Telephone	(650) 952-0808			Fax	(650) 871-7532
	E-mail Address	jmerlo@smharbor.com				

#### 1. Minimum Qualifications

Attach a Letter of Intent as required by Title 14. A template letter is in the 'Show Documents' area.

29238\_0\_960\_Letter of Intent 21-22.pdf

As a subcontractor for this federal grant award, your agency must be registered in the Federal System of Award Management (https://www.sam.gov/SAM/pages/public/searchRecords/search.jsf) Your agency's registration must be current in that system at the time you submit your application.

C No

Attach a screenshot showing your registration is active. (A sample screenshot is available in the 'Show Documents' area)

29240\_0\_765\_SAM Status Tracker \_ System for Award Management.pdf

- 1 a. Do you have an active Boating Safety / res Boating Law Enforcement Patrol?
- 1 b. What training and/or authorization does your agency have to perform boating safety and boating law enforcement in your jurisdiction? (max. 1024 characters)

The Oyster Point Marina Harbor Patrol and The Pillar Point Harbor Patrol under the jurisdiction of the San Mateo County Harbor District (SMCHD) are designated Harbor Police under PC 830.33(b), California Harbors & Navigation. Code §§ 663.5 and 6070.6, and SMCHD Ordinance Code 2.25.010. Training includes but not limited to; PC 832, POST CE Training classes, DBW Boating Officer Training Classes, FEMA and OES Training Classes, Enforcement Specific Training Classes with USCG Sector SF, The Neptune Coalition, The Bay Area SAR Council and the San Mateo County Sheriff's Office. Training and LE with Allied Agencies including California Fish and Wildlife, California State Parks, USCG Stations Golden Gate and San Francisco, USCG Air Station SF, USCG Sector SF and other Federal LE Agencies and Teams.

r Yes 🔿 No

1 c. Do you certify that you know you are required to submit all boating accidents as required in Section 656 of Harbors and Navigation Code for the 15-year grant term if awarded this grant? An agency's failure to submit a report may result in ineligibility of future grant funds for up to 5 fiscal years.

#### 1. California State Senate Districts

Select one or more of the California State Senate Districts where the proposed project activities will occur. Copy and Paste the URL (http://www.legislature.ca.gov/legislators\_and\_districts/districts/districts.html) in your browser to determine the State Senate district(s).

State Senate 01	State Senate 02	State Senate 03	State Senate 04	State Senate 05
State Senate 06	State Senate 07	State Senate 08	State Senate 09	C State Senate 10
State Senate 11	State Senate 12	State Senate 13	State Senate 14	C State Senate 15
State Senate 16	State Senate 17	State Senate 18	State Senate 19	C State Senate 20
C State Senate 21	State Senate 22	State Senate 23	State Senate 24	C State Senate 25
State Senate 26	State Senate 27	State Senate 28	State Senate 29	C State Senate 30
C State Senate 31	State Senate 32	State Senate 33	State Senate 34	C State Senate 35
C State Senate 36	State Senate 37	State Senate 38	State Senate 39	C State Senate 40

#### 2. California State Assembly Districts

Select one or more of the California State Assembly Districts where the proposed project activities will occur. Copy and Paste the URL (http://www.legislature.ca.gov/legislators\_and\_districts/districts/districts.html) in your browser to determine the State Assembly district(s).

State Assembly 01	State Assembly 02	State Assembly 03	State Assembly 04
State Assembly 05	State Assembly 06	State Assembly 07	State Assembly 08
State Assembly 09	State Assembly 10	State Assembly 11	State Assembly 12
State Assembly 13	State Assembly 14	State Assembly 15	State Assembly 16
State Assembly 17	State Assembly 18	State Assembly 19	State Assembly 20
State Assembly 21	State Assembly 22	State Assembly 23	State Assembly 24
State Assembly 25	State Assembly 26	State Assembly 27	State Assembly 28
State Assembly 29	State Assembly 30	C State Assembly 31	State Assembly 32
State Assembly 33	State Assembly 34	C State Assembly 35	State Assembly 36
State Assembly 37	State Assembly 38	State Assembly 39	State Assembly 40
State Assembly 41	State Assembly 42	State Assembly 43	State Assembly 44
State Assembly 45	State Assembly 46	State Assembly 47	State Assembly 48
State Assembly 49	State Assembly 50	State Assembly 51	State Assembly 52
State Assembly 53	State Assembly 54	State Assembly 55	State Assembly 56
State Assembly 57	State Assembly 58	C State Assembly 59	State Assembly 60
State Assembly 61	State Assembly 62	C State Assembly 63	State Assembly 64
State Assembly 65	State Assembly 66	State Assembly 67	State Assembly 68
State Assembly 69	State Assembly 70	State Assembly 71	State Assembly 72
State Assembly 73	State Assembly 74	State Assembly 75	State Assembly 76
State Assembly 77	State Assembly 78	State Assembly 79	State Assembly 80

#### 3. California Congressional Districts

Select one or more of the California Congressional Districts where the proposed project activities will occur. Copy and Paste the URL (https://www.govtrack.us/congress/members/CA) in your browser to determine the Congressional district(s).

Congressional District 1	Congressional District 2	Congressional District 3
Congressional District 4	Congressional District 5	Congressional District 6

Congressional District 7	Congressional District 8	Congressional District 9
Congressional District 10	Congressional District 11	Congressional District 12
Congressional District 13	Congressional District 14	Congressional District 15
Congressional District 16	Congressional District 17	Congressional District 18
Congressional District 19	Congressional District 20	Congressional District 21
Congressional District 22	Congressional District 23	Congressional District 24
Congressional District 25	Congressional District 26	Congressional District 27
Congressional District 28	Congressional District 29	Congressional District 30
Congressional District 31	Congressional District 32	Congressional District 33
Congressional District 34	Congressional District 35	Congressional District 36
Congressional District 37	Congressional District 38	Congressional District 39
Congressional District 40	Congressional District 41	Congressional District 42
Congressional District 43	Congressional District 44	Congressional District 45
Congressional District 46	Congressional District 47	Congressional District 48
Congressional District 49	Congressional District 50	Congressional District 51
Congressional District 52	Congressional District 53	

#### 4. County

Select one or more of the California Counties where the proposed project activities will occur.

Alameda	Alpine	Amador	Butte	Calaveras	Colusa
Contra Costa	Del Norte	El Dorado	Fresno	Glenn	Humboldt
Imperial	Inyo	□ Kern	Kings	Lake	Lassen
Los Angeles	Madera	Marin	Mariposa	Mendocino	Merced
Modoc	Mono	Monterey	Napa	Nevada	Orange
Placer	Plumas	Riverside	Sacramento	🗖 San Benito	□ San
					Bernardino
🗖 San Diego	San Francisco	San Joaquin	San Luis Obispo	San Mateo	C Santa Barbara
🗖 Santa Clara	C Santa Cruz	C Shasta	Sierra	🗖 Siskiyou	C Solano
Sonoma	C Stanislaus	Sutter	Tehama		Tulare
Tuolumne	Ventura	□ Yolo	Yuba		

2.	С	itat	ion Authority				
	2 a.		Number of Full-Time Boating Safety and/or Boating Law Enforcement Officers do you have?		25	5	
	2 b.		Number of Part-Time Boating Safety and/or Boating Law		(	)	
			How many hours per year do they work?		2,080	)	
			Is this work seasonal or continuous?	Co	ntinu	ous	
	3.		Does your boating safety and enforcement unit have citation uthority?	ΓY	'es	C No	)
			If YES, Code #	830.3	33(b)		
		a.	How many boating safety related citations did your agency issue last calendar year?		50	)	
		b.	How many boating accidents did your agency respond to in the last calendar year?		1(	)	
		C.	How many Search and Rescue missions did your agency perform in the last calendar year?		103	3	
	4.		Does your boating safety and enforcement unit have arrest authority?	ΘY	'es	C No	)
			If YES, Code #	836			
			How many boating related arrests did you conduct last calendar year?		(	)	
	5.	F	low many outreach events did your agency participate in to promote boating safety education last calendar year? Please list hese events.		12	2	

5a.

Event Name	Date of Event (optional)
National Safe Boating Week, Wear your Life Jacket to Work Day	1
Coastal Clean Up Day, Earth Day, National Marina Day	1
Sea Hugger Children's Environmental Camp/Program	1
Fish and Fleet	1
Farm, Fish and Flowers	1
Half Moon Bay Safety Preparedness Day	1
Coastside CERT Team Awareness Outreach	1
Coastside CERT	1
Coastside CEAP Awareness, Education and Outreach	1
Allied Agency Water Safety Campaign	1
Coastside Mothers Club Educational Tour	1

#### 6. Jurisdiction Table

#### List All Waterbodies That Are In Your Jurisdiction and Fill in the Chart for Each

Waterbody	Size (area)	Boating activities (fishing, watersports, paddlecraft, etc.)	How many hours/year is this waterbody patrolled by your agency. If seasonal, list active months	Do you share jurisdiction on this waterbody	If shared jurisdiction, list other agency(s)
Pacific Ocean	45 miles	Fishing, Kayak Fishing, Sailing, Big Wave Surfing, Human Powered Water Sports,Whale Watching, Kite Surfing, and many others.	24/7	Yes	US Coast Guard
San Francisco Bay	20 miles	Fishing, Passenger Vessel Transportation, Human Powered Water Sports, Kite Surfing	24/7	Yes	US Coast Guard
San Mateo County Bay Waters	20 miles	Fishing, Passenger Vessel Transportation, Human Powered Water Sports, Kite Surfing	24/7	Yes	US Coast Guard, San Mateo County Sheriff's Office

# 7. Clearly identify the top three safety issues in your jurisdiction and describe how the requested grant will address these issues.

1. Inter agency Communication for Boating Law Enforcement Activities and Missions. The SMCHD is working on standardization of all SMCHD Patrol Boats, this technology will provide a force multiplier as all three Patrol Boat assets will be mission ready. Without the current AIS Systems which enable Blue Force and Encryption we are not able to successfully complete Law Enforcement Missions with our Allied Agencies in a safe and secure manner. The addition of these capabilities would greatly enhance our participation and success in a myriad of LE Missions in our jurisdictions. In preparation for a mass event or any natural disaster this technology is mission critical.

2. Emergency De-watering pumps are a key tool in saving lives on the SF Bay and on the Pacific Ocean. The addition of these pumps to standardize the SMCHD PAtrol Boats is mission critical. These pumps have the ability to fight Marine Fires as well as provide a source of water to Fire units in the event of an Earthquake or Drought when the domestic water supply may be compromised. These Pumps have the ability to be transferred at Sea and provide the means to potentially avert a envirmonental emergency by keeping a sinking vessel afloat and reducing the potiental for a hazardous fluid release.

3. The Motorola APX 8000 Series radio's provide secured inter agency communications with allied agencies for SAR, LE and Mass event missions or events. The addition of this communication platform will provide officer safety and direct communications for a variety off missions. As a force multiplier in a large event where coordination is critical and time is of the essence, These radio's will provide SMCHD Deputy Harbormasters with the ability to compete missions with direct communications with LE partners and reduce the confusion with mixed communication platforms.

#### 8. Inventory

List all patrol boats, PWCs and inflatables in your current inventory (County and State owned)

Year	Make	CF Number	How many hours/y ear is this used?	What waterbodies is it used on?	Is this boat current ly being surplus ed?	Is this a boat that was funded by a DBW Grant?	Vessel Status?
2,007	Almar	CF5259X C	1,000	Pacific Ocean	No	No	Operational
2,017	Radon	CF0973X C	1,000	Pacific Ocean	No	No	Operational
2,020	Yamaha JetSki	VX1800A- VA	800	Pacific Ocean	No	No	Operational
2,020	Yamaha JetSki	VX1800A- VA	800	Pacific Ocean	No	No	Operational
2,020	Yamaha JetSki	VX1800A- VB	800	Pacific Ocean	No	No	Operational
1,975	Boston Whaler	CF1085X C	100	San Francisco Bay including San Mateo County waters	No	No	Operational
1,996	Marine Boat Builders	CF3787	300	San Francisco Bay including San Mateo County waters	No	No	Operational
2,007	Challenger	CF5771X F	700	San Francisco Bay including San Mateo County waters	No	No	Operational

#### 9. Project Type

Are you requesting a new Patrol Boat/PWC or Misc. Equipment.?

New Patrol Boat/PWC

Equipment / Repairs

\* All items purchased with funding provided by the Division of Boating and Waterways are for the exclusive use of the Boating Safety and Enforcement Unit.

#### Misc Equipment Instructions

#### PLEASE READ THE FOLLOWING CAREFULLY

ENTER INFORMATION IN THIS SECTION ONLY IF YOU SELECTED 'MISC. EQUIPMENT' AS A PROPOSAL TYPE

IF YOU DID NOT SELECT 'MISC. EQUIPMENT' AS A PROPOSAL TYPE, CLICK ON THE NEXT TAB TO NAVIGATE TO THE NEXT SECTION

#### Misc. Equipment Items

11. Describe what you are requesting. You may group "like" items.

ltem	Cost	Quantity	Total	Priority	Comments
Emergency De- watering Pump Equipment package- Honda GX200	7,358.00	3.00	22,074.00	2	
AIS Blue Force system	10,137.00	3.00	30,411.00	1	
APX 8000 Series VHF/UHF/7/800 MHz Model 2.5	7,575.00	4.00	30,300.00	3	
TOTAL			82,785		

#### Misc. Equipment Items Questions (Emergency De-watering Pump Equipment package-Honda GX200)

#### 11. Item Details

- 11 a. Is this equipment general all-purpose gear? NOTE: person-specific 💽 Yes 🕜 No items will not be funded.
- 11 b. Describe exactly what you are requesting and how this equipment will help mitigate the boating safety issues you identified in Question 7. If your requested quantity is more than one for any item, explain the need for having multiple items. (max. 2000 characters)

The Emergency De-watering Pump Equipment package is a pump and the pieces to pump water from a sinking boat or use as a supplement to fight a marine fire. The ability to have the same Emergency De-watering pumps on all SMCHD Patrol Boats will enable the ability to respond to a variety of emergencies and enhance the protection of the environment.We are requesting three units so all Patrol Boats are standardized for mission critical response.

#### 11 c. Describe any negative impacts to boating safety and/or boating enforcement if you do not get this grant.

The negative impacts would include the reduced ability to de-water sinking vessels, protect the environment, and provide a water source in an emergency. These impacts as a whole could reduce the ability to save lives.

#### 11 d. Classify this request

# Classify this request by choosing one of the following options and present a strong justification for the request

- Critical" (operations would cease without it)
- Increased efficiency" (if it would save staff time, identify how much time) [hours, to days if prevents an oil or hazardous fluid spill.]

- Convenience" (it would make life a little easier)
- Protection" of existing equipment (ex: shade structure, hoists to get the vessels out of the water, etc. to extend longevity)

Justification - include	It will be used 5% of the time to 10%, but it represents the opportunity to make a true
the percentage of time	difference when needed. In the past year we had a handful of cases that having this
the equipment will be	resource would have made a difference.
used for boating	
safety activities and	
the percentage of time	
it will be used for	
search and rescue.	
(max. 300 characters)	
If you are not awarded	vour full request would your agency be 🖉 Yes 🔿 No

11 e. If you are not awarded your full request, would your agency be reserved to supplement the difference?

If yes, what percentage can you supplement?

# 11 f. What other capabilities exist in your region for this equipment? Justify why it is not feasible to borrow this equipment from a neighboring agency.

There are no other Marine based assets within 25 miles of the Pillar Point Harbor to the North and 50 miles from the South.

25%

# 11 g. How and why did you select this particular model? Explain if this is standard equipment or do you need something specialized for your waterbody to meet your agency's needs? List less expensive models considered and explain why they are not being requested. List other funding sources available to your agency that could be used to purchase or partially purchase this equipment.

This model is the same model that the USCG uses and it is a multifunction unit with a water tight container that allows transfer over water, or aircraft deployment.

#### Misc. Equipment - Informational

- 11 h. What body(s) of water will this equipment be Pacific Ocean, San Francisco Bay and San Mateo County water used on?
- 11 i. Will this equipment be used for anything Yes No
   besides Recreational Boating Safety
   Enforcement (ie. Junior lifeguard, swimming
   rescues, enforcing commercial activities,
   etc.)?

If so, list activities and percentage of time vs. Recreational Boating Safety Enforcement (max. 1024 characters)

11 j. Select the PRIMARY purpose this equipment will be used for:

Search and rescue

- Enforcement of state and local measures
- Inspection of vessels
- Recovering drowned bodies
- <sup>C</sup>Supervising organized water events
- 11 k. If this is search and rescue equipment (i.e.dive gear), how many boating-related

20

emergencies did you respond to last year that required this type of equipment? Do not include non-boating emergencies (i.e. swimmers).

#### Misc. Equipment Items Questions (AIS Blue Force system)

#### 11. Item Details

- 11 a. Is this equipment general all-purpose gear? NOTE: person-specific 💽 Yes 🕜 No items will not be funded.
- 11 b. Describe exactly what you are requesting and how this equipment will help mitigate the boating safety issues you identified in Question 7. If your requested quantity is more than one for any item, explain the need for having multiple items. (max. 2000 characters)

We are requesting the AIS 5000 Class A Transponder Bundle for our 3 Patrol Vessel Assets for LE and LE Missions with our Allied Agencies. Having these capabilities for encrypted and location communication is critical for officer safety and completing complex missions and Search and Rescue with tracking and location identification capabilities. This system is independent of our current electronic communication systems and is a stand-alone package that will not operate correctly with our current electronics package.

The mitigation will enhance officer safety for critical missions and ensure communications are not compromised. It will provide secure communications for the Harbor Patrol and Allied Agencies when it is paramount to the mission objectives.

The ability to respond as a resource will be greatly enhance with this technology.

#### 11 c. Describe any negative impacts to boating safety and/or boating enforcement if you do not get this grant.

The Pillar Point Harbor Patrol has the only 24/7/365 LE and SAR response Patrol Vessel capabilities in the region. We cannot reach out to any other agency for support within 35 miles of our base location. The Oyster Point Marina Harbor Patrol has the ability to reach out to other LE Agencies within the San Francisco Bay in a 5 Mile radius of base. THE OPMHP is located near SFO International Airport and if there is a mass event,

having additional resources on hand may be critical to provide support for Allied Agency support and response.

#### 11 d. Classify this request

# Classify this request by choosing one of the following options and present a strong justification for the request

- "Critical" (operations would cease without it)
- Increased efficiency" (if it would save staff time, identify how much time) [2 Hours or more in a critical LE response.]
- Convenience" (it would make life a little easier)
- Protection" of existing equipment (ex: shade structure, hoists to get the vessels out of the water, etc. to extend longevity)

Justification - include We would be able to be LE mission ready at all times the percentage of time the equipment will be used for boating safety activities and the percentage of time it will be used for search and rescue. (max. 300 characters)

11 e. If you are not awarded your full request, would your agency be results of the to supplement the difference?

If yes, what percentage can you supplement? 25%

# 11 f. What other capabilities exist in your region for this equipment? Justify why it is not feasible to borrow this equipment from a neighboring agency.

The Pillar Point Harbor Patrol has the only 24/7/365 LE and SAR response Patrol Vessel capabilities in the region. We cannot reach out to any other agency for support within 35 miles of our base location.

The Oyster Point Marina Harbor Patrol has the ability to reach out to other LE Agencies within the San Francisco

Bay in a 5 Mile radius of base. THE OPMHP is located near SFO International Airport and if there is a mass event,

having additional resources on hand may be critical to provide support for Allied Agency support and response.

# 11 g. How and why did you select this particular model? Explain if this is standard equipment or do you need something specialized for your waterbody to meet your agency's needs? List less expensive models considered and explain why they are not being requested. List other funding sources available to your agency that could be used to purchase or partially purchase this equipment.

Labor is standard and we chose the most economically considerate price for the best value from the quotes we received.

#### Misc. Equipment - Informational

- 11 h. What body(s) of water will this equipment be used on? Pacific Ocean, San Francisco Bay and San Mateo County waters
- 11 i. Will this equipment be used for anything 
   Yes
   Yes
   No besides Recreational Boating Safety
   Enforcement (ie. Junior lifeguard, swimming rescues, enforcing commercial activities, etc.)?

If so, list activities and percentage of time vs. Recreational Boating Safety Enforcement (max. 1024 characters)

- 11 j. Select the PRIMARY purpose this equipment will be used for:
  - Search and rescue
  - Enforcement of state and local measures
  - Inspection of vessels
  - Recovering drowned bodies
  - Supervising organized water events
- 11 k. If this is search and rescue equipment (i.e.dive gear), how many boating-related emergencies did you respond to last year that required this type of equipment? Do not include non-boating emergencies (i.e. swimmers).

#### Misc. Equipment Items Questions (APX 8000 Series VHF/UHF/7/800 MHz Model 2.5)

#### 11. Item Details

Date: 01/25/2022

- 11 a. Is this equipment general all-purpose gear? NOTE: person-specific C Yes C No items will not be funded.
- 11 b. Describe exactly what you are requesting and how this equipment will help mitigate the boating safety issues you identified in Question 7. If your requested quantity is more than one for any item, explain the need for having multiple items. (max. 2000 characters)

These Radio units are compatible with San Mateo County Public Safety Communications, which is the San Mateo County Sheriff's Office and the San Mateo County Park Rangers who are the primary LE partners for the SMCHD Pillar Point Harbor Patrol. Enhanced communication is critical for any LE activity, MAss Event or Major Emergency.

#### 11 c. Describe any negative impacts to boating safety and/or boating enforcement if you do not get this grant.

The lack of direct communication will lead to additional response times and the potential for confusion in the critical minutes of an emergency response.

#### 11 d. Classify this request

Classify this request by choosing one of the following options and present a strong justification for the request

- Critical" (operations would cease without it)
- Increased efficiency" (if it would save staff time, identify how much time) [several hours a week]
- Convenience" (it would make life a little easier)
- Protection" of existing equipment (ex: shade structure, hoists to get the vessels out of the water, etc. to extend longevity)

Justification - include The additional radios will provide staff with the ability to communicate from any location, the percentage of time the equipment will be used for boating safety activities and the percentage of time it will be used for search and rescue. (max. 300 characters)

25%

11 e. If you are not awarded your full request, would your agency be reserved to supplement the difference?

If yes, what percentage can you supplement?

# 11 f. What other capabilities exist in your region for this equipment? Justify why it is not feasible to borrow this equipment from a neighboring agency.

The SMCHD primary partner LE agencies (San Mateo County Sheriff's Office and San Mateo County Park Rangers) have this radio equipment, this grant would enable the Deputy Harbormaster Staff to have direct communication with other LE Partners for Officer Safety and direct Officer Safety back up.

11 g. How and why did you select this particular model? Explain if this is standard equipment or do you need something specialized for your waterbody to meet your agency's needs? List less expensive models considered and explain why they are not being requested. List other funding sources available to your agency that could be used to purchase or partially purchase this equipment.

This model was selected because of the communication requirements with our allied agencies, and is the only compatible radio that works with the systems in place that is secured in San Mateo County. We are currently exploring other funding sources through grants, we have not had any success at this point.

#### Misc. Equipment - Informational

- 11 h. What body(s) of water will this equipment be Pacific Ocean and San Francisco Bay used on?
- 11 i. Will this equipment be used for anything Yes No besides Recreational Boating Safety Enforcement (ie. Junior lifeguard, swimming rescues, enforcing commercial activities, etc.)?

If so, list activities and percentage of time vs. The ability to respond to other related emergencies in the AOR approximately 10% (max. 1024 characters)

100

- 11 j. Select the PRIMARY purpose this equipment will be used for:
  - Search and rescue
  - C Enforcement of state and local measures
  - Inspection of vessels
  - Recovering drowned bodies
  - C Supervising organized water events
- 11 k. If this is search and rescue equipment (i.e.dive gear), how many boating-related emergencies did you respond to last year that required this type of equipment? Do not include non-boating emergencies (i.e. swimmers).

#### 12 Previous BSEE Grants

			FY 20/21	FY 19/20	FY 18/19
	Did y	our agency apply for a BSEE grant ?	Yes	Yes	Yes
	Were No)	you awarded a BSEE grant? (leave blank if	Yes	Yes	No
	Amou No or	unt awarded: (List amount or leave blank if r NA)	5,828.8	73,419.00	
	Amou No or	unt reimbursed: (List amount or leave blank if r NA)		0 73,419	
		ne Equipment Purchased: (List Not pu blank if No or NA)	rchase yet	GPSMAP 8612XSV MFD, RADAR GMR TRANSCEIVER, ANTENNA, 9-AXIS HEADING SENSOF VISABLE CAMERA MARINE NETWOR SYSTEM	R, R,
Bo	ating	Safety and Enforcement Income			
3.	Reve	enue and Expenditures			
	Во	at Tax Revenue		0.00	
	Othe	er Revenue:			
	a)	Other local revenue sources: (Example: laun campgrounds, parking, anything that goes to patrol support)	-	132,348.78	
	b)	Any State boating funding sources, including subvention:	DBW	5,740.13	
	TOT. BUD	AL ANNUAL BOATING INCOME IN YOUR C GET	PERATING	138,088.91	
	-	AL EXPENDITURES FOR BOATING SAFETY DRCEMENT	' AND	244,732.00	
4.		a participated in the subvention program, were expended in the previous closed year?	all allocated	CYes CNo	r NA

If NO, state percentage of remaining funds.

13.

14.

#### Budget Detail for Boating Safety and Enforcement Equipment Grant - FY 2021 / 22 Agency: San Mateo County Harbor District Application: Equipment for Patrol Boats

Line Item	Qty	Rate	UOM	Total	Req Amoun
1 Patrol Boat / Equipment					
Law Enforcement Patrol Boats Notes : Standard Pump Equipment- USCG P6	1.0000	11037.000	PKG	11,037.00	11,037.00
Communications Notes : 3 sets of AIS5000 Class A Transponder Bundle, AXIOM 7 DV Multifunction Display, NMEA 2000 Starter Kit, VHF Antenna, Heavy Duty SS Ratchet, AR200 IP Camera Stabilization Module and Installation	3.0000	10137.000	PKG	30,411.00	30,411.00
Communications Notes : 4 sets of APX8000 Series VHF/UHF/7/800 MHz Model 2.5	4.0000	7575.000	PKG	30,300.00	30,300.00
al for Patrol Boat / Equipment				71,748.00	71,748.00
2 Adjustment					
TAL EXPENDITURES				71,748.00	71,748.00

#### Budget Summary for Boating Safety and Enforcement Equipment Grant - FY 2021 / 22 Agency: San Mateo County Harbor District Application: Equipment for Patrol Boats

	Category	Total	Req Amount	Narrative
1	Patrol Boat / Equipment	71,748.00	71,748.00	
2	Adjustment	0.00	0.00	
TOTAL	EXPENDITURES	71,748.00	71,748.00	

#### **Applicant Certification**

- a. Under penalty of perjury, I certify that I have examined this application and the document(s), proposal(s), and statement(s) submitted in conjunction herewith, and that to the best of my information and belief, the information contained herein is true, accurate, correct, and complete.
- b. I certify that I am the person authorized to submit this application on behalf of the applicant.

Name: John Moren

Title: Director of Operations

Date Signed: 04/30/202 1